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UNITED STATES DISTRICT COURT
DISTRICT OF ARIZONA

Mark Smilovits, Individually and on Behalf)
of All Others Similarly Situated,)

Plaintiff,)

vs.)

First Solar, Inc., Michael J. Ahearn, Robert)
J. Gillette, Mark R. Widmar, Jens)
Meyerhoff, James Zhu, Bruce Sohn and)
David Eaglesham,)

Defendants.)

No. 2:12-cv-00555-DGC

CLASS ACTION

[PROPOSED] ORDER AND FINAL
JUDGMENT

1 On the 30th day of June, 2020, a hearing having been held before this Court to
2 determine: (1) whether the terms and conditions of the Stipulation of Settlement dated
3 February 13, 2020 (the “Stipulation”) are fair, reasonable and adequate for the settlement of
4 all claims asserted by the Class against the Defendants in the complaint now pending in this
5 Court in the above captioned action (the “Litigation”), including the release of the Released
6 Persons, and should be approved; (2) whether judgment should be entered dismissing the
7 Complaint on the merits and with prejudice in favor of the Defendants herein and as against
8 all persons or entities who are Members of the Class herein who have not timely and validly
9 requested exclusion therefrom; (3) whether to approve the Plan of Allocation as a fair and
10 reasonable method to allocate the settlement proceeds among the Members of the Class; (4)
11 whether and in what amount to award Lead Counsel fees and costs, charges and expenses;
12 and (5) whether and in what amount to award Lead Plaintiffs for their costs and expenses in
13 representing the Class; the Court having considered all matters submitted to it at the hearing
14 and otherwise; it appearing that a notice of the hearing substantially in the form approved by
15 the Court was provided to all individuals and entities, reasonably identifiable, who purchased
16 or otherwise acquired First Solar publicly-traded securities between April 30, 2008 and
17 February 28, 2012, inclusive, as shown by the records compiled by the Claims Administrator
18 in connection with its providing of the Notice, at the respective addresses set forth in such
19 records, and that a summary notice of the hearing substantially in the form approved by the
20 Court was published pursuant to the Order Granting Preliminary Approval Pursuant to Fed.
21 R. Civ. P. 23(e)(1) and Permitting Notice to the Class as set forth in the Declaration of Ross
22 D. Murray Regarding Notice Dissemination, Publication, and Distribution of the Net
23 Settlement Fund, and the Supplemental Declaration of Ross D. Murray Regarding Notice
24 Dissemination and Exclusions Received to Date; the Court having considered and
25 determined the fairness and reasonableness of the award of attorneys’ fees and costs, charges
26 and expenses requested by Lead Counsel and the request for Lead Plaintiffs’ costs and
27 expenses; and all capitalized terms not otherwise defined herein having the meanings set
28 forth and defined in the Stipulation.

1 NOW, THEREFORE, IT IS HEREBY ORDERED THAT:

2 1. This Judgment incorporates by reference the definitions in the Stipulation, and
3 all terms used herein shall have the same meanings as set forth in the Stipulation, unless
4 otherwise set forth herein.

5 2. The Court has jurisdiction over the subject matter of this Litigation, the Lead
6 Plaintiffs, all Class Members, and Defendants.

7 3. Excluded from the Class is any Class Member that validly and timely
8 requested exclusion, which Class Members are identified in Exhibit A hereto. Also excluded
9 from the Class are the plaintiffs in the action captioned *Maverick Fund, L.D.C. v. First Solar,*
10 *Inc. et al.*, No. 2:15-cv-01156-DGC, pending in the United States District Court for the
11 District of Arizona.

12 4. Notice of the pendency of this Litigation and the proposed Settlement was
13 given to all Class Members who could be identified with reasonable effort. The form and
14 method of notifying the Class of the pendency of the Litigation and the terms and conditions
15 of the proposed Settlement met the requirements of Rule 23 of the Federal Rules of Civil
16 Procedure, the Private Securities Litigation Reform Act of 1995 (the “PSLRA”), due
17 process, and any other applicable law, constituted the best notice practicable under the
18 circumstances, and constituted due and sufficient notice to all individuals and entities
19 entitled thereto.

20 5. Pursuant to Federal Rule of Civil Procedure 23(e)(2), the Court hereby
21 approves the Settlement set forth in the Stipulation and finds that in light of the benefits to
22 the Class, the complexity and expense of further litigation, and the costs of continued
23 litigation, the Settlement is, in all respects, fair, reasonable, and adequate having considered
24 and found that: (a) Lead Plaintiffs and Lead Counsel have adequately represented the Class;
25 (b) the proposal was negotiated at arm’s length; (c) the relief provided for the Class is
26 adequate, having taken into account (i) the costs, risks, and delay of trial and appeal; (ii) the
27 effectiveness of any proposed method of distributing relief to the Class, including the method
28 of processing Class Members’ claims; (iii) the terms of any proposed award of attorneys’

1 fees, including timing of payment; and (iv) any agreement required to be identified under
2 Rule 23(e)(2); and (d) the proposed Plan of Allocation treats Class Members equitably
3 relative to each other.

4 6. Accordingly, the Court authorizes and directs implementation and performance
5 of all the terms and provisions of the Stipulation, as well as the terms and provisions hereof.
6 Except as to any individual claims of those Persons (identified in Exhibit A attached hereto)
7 who have validly and timely requested exclusion from the Class, and the Plaintiffs in the
8 Opt-Out Litigation, the Court hereby dismisses all Released Claims of the Class, as against
9 the Released Persons, with prejudice. The Settling Parties are to bear their own costs, except
10 as to and to the extent provided in the Stipulation and herein.

11 7. The releases as set forth in ¶¶4.1-4.4 of the Stipulation (the “Releases”),
12 together with the definitions contained in ¶¶1.1-1.32 relating thereto, are expressly
13 incorporated herein in all respects. The Releases are effective as of the Effective Date.

14 8. Upon the Effective Date, each of the Releasing Plaintiff Parties will be forever
15 barred and enjoined from commencing, instituting, prosecuting, or continuing to prosecute
16 any action or other proceeding in any court of law or equity, arbitration tribunal, or
17 administrative forum, asserting the Released Claims against any of the Released Persons.
18 Claims to enforce the terms of the Stipulation are not released.

19 9. Upon the Effective Date, Lead Plaintiffs shall, and each and every Releasing
20 Plaintiff Party shall be deemed to have, and by operation of this Judgment shall have, fully,
21 finally, and forever waived, released, relinquished, discharged and dismissed each and every
22 one of the Released Claims (including Unknown Claims) against each and every one of the
23 Released Persons and shall forever be barred and enjoined from commencing, instituting,
24 prosecuting, or maintaining any and all of the Released Claims against any and all of the
25 Released Persons, whether or not such Releasing Plaintiff Party executes and delivers the
26 Proof of Claim and Release or shares in the Net Settlement Fund. Lead Plaintiffs and each
27 Releasing Plaintiff Party are bound by this Judgment, including, without limitation, the
28 release of claims as set forth in the Stipulation. The Released Claims are hereby

1 compromised, settled, released, discharged, and dismissed as against the Released Persons
2 on the merits and with prejudice by virtue of the proceedings herein and this Order and Final
3 Judgment. Claims to enforce the terms of the Settlement are not released.

4 10. Upon the Effective Date, each of the Released Persons shall be deemed to
5 have, and by operation of this Judgment shall have, fully, finally, and forever released,
6 relinquished, and discharged Lead Plaintiffs, the Class and Plaintiffs' Counsel from all
7 Released Defendants' Claims (including Unknown Claims). Claims to enforce the terms of
8 the Stipulation are not released.

9 11. Upon the Effective Date, to the fullest extent permitted by law, (i) all Persons
10 shall be permanently enjoined, barred and restrained from commencing, instituting,
11 prosecuting, or maintaining any claims, actions, or causes of action for contribution,
12 indemnity or otherwise against any of the Released Persons seeking as damages or otherwise
13 the recovery of all or part of any liability, judgment or settlement which they pay or are
14 obligated to pay or agree to pay to the Releasing Plaintiff Parties arising out of, relating to or
15 concerning any acts, facts, statements or omissions that were or could have been alleged in
16 the Litigation, both known and Unknown Claims, whether arising under state, federal or
17 foreign law, as claims, cross-claims, counterclaims, third-party claims or otherwise, in the
18 Court or any other federal, state, or foreign court, or in any arbitration proceeding,
19 administrative agency proceeding, tribunal, or any other proceeding or forum; and (ii) all
20 Released Persons shall be permanently enjoined, barred and restrained from commencing,
21 instituting, prosecuting, or maintaining any claims, actions, or causes of action for
22 contribution, indemnity or otherwise against any Persons seeking as damages or otherwise
23 the recovery of all or any part of any liability, judgment or settlement which they pay or are
24 obligated to pay or agree to pay to the Releasing Plaintiff Parties arising out of, relating to, or
25 concerning any acts, facts, statements or omissions that were or could have been alleged in
26 the Litigation, both known and Unknown Claims, whether arising under state, federal or
27 foreign law, as claims, cross-claims, counterclaims, third-party claims or otherwise, in the
28 Court or any other federal, state, or foreign court, or in any arbitration proceeding,

1 administrative agency proceeding, tribunal, or any other proceeding or forum; *provided that*
2 clauses (i) and (ii) of this Paragraph shall not be construed to modify, amend, or supersede
3 any agreements between or among the Released Persons with respect to claims between or
4 among those Released Persons.

5 12. Defendants have denied, and continue to deny, any and all allegations and
6 claims asserted in the Litigation, and Defendants have represented that they entered into the
7 Settlement because it would be beneficial to avoid the burden, inconvenience, and expense
8 associated with continuing the Litigation and the uncertainty and risks inherent in any
9 litigation. Neither this Order and Final Judgment, the Stipulation, nor any of their respective
10 terms and provisions, nor any of the negotiations, discussions, or proceedings connected with
11 them, nor any act performed or document executed pursuant to or in furtherance of the
12 Stipulation or the Settlement, nor any of the documents or statements referred to therein, nor
13 any payment or consideration provided for therein, shall be:

14 (a) offered or received against any of the Released Persons as evidence of,
15 or construed as evidence of, any presumption, concession, or admission by any of the
16 Released Persons with respect to the truth of any of the allegations in the Litigation or the
17 validity of any claim that has been or could have been asserted against any of the Released
18 Persons in the Litigation or in any other litigation, action, or proceeding, whether civil,
19 criminal, or administrative, in any court, administrative agency, or other tribunal, or the
20 deficiency of any defense that has been or could have been asserted in the Litigation or in
21 any other litigation, action, or proceeding, whether civil, criminal, or administrative in any
22 court, administrative agency, or other tribunal, or of any liability, negligence, fault, or other
23 wrongdoing of any kind by any of the Released Persons;

24 (b) offered or received against any of the Released Persons as evidence of,
25 or construed as evidence of, any presumption, concession, or admission of any fault,
26 misrepresentation, or omission with respect to any statement or written document approved
27 or made by any of the Released Persons, or against Lead Plaintiffs or any Member of the
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1 Class as evidence of, or construed as evidence of, any infirmity of the claims alleged by Lead
2 Plaintiffs;

3 (c) offered or received against the Released Persons, Lead Plaintiffs, or any
4 Member of the Class as evidence of, or construed as evidence of, any presumption,
5 concession, or admission by any of the Released Persons, Lead Plaintiffs, or any Member of
6 the Class with respect to any liability, negligence, fault, or wrongdoing as against any of the
7 Released Persons, Lead Plaintiffs, or any Member of the Class in any other litigation, action,
8 or proceeding, whether civil, criminal, or administrative, in any court, administrative agency,
9 or other tribunal, other than such proceedings as may be necessary to effectuate the
10 provisions of the Stipulation or this Order and Final Judgment; provided, however, that the
11 Released Persons, Lead Plaintiffs, and any Member of the Class may refer to them to
12 effectuate the liability protection granted them hereunder;

13 (d) offered or received against any of the Released Persons as evidence of,
14 or construed as evidence of, any presumption, concession, or admission by any of the
15 Released Persons that the Settlement Amount represents the amount which could or would
16 have been recovered after trial; or

17 (e) offered or received against Lead Plaintiffs or any Member of the Class
18 as evidence of, or construed as evidence of, any presumption, concession, or admission by
19 Lead Plaintiffs or any Member of the Class that any of their claims are without merit, or that
20 any defenses asserted by the Defendants in the Litigation have any merit, or that damages
21 recoverable in the Litigation would not have exceeded the Settlement Fund.

22 13. The Released Persons may file the Stipulation and/or this Judgment in any
23 action in order to support a defense, claim, or counterclaim based on principles of *res*
24 *judicata*, collateral estoppel, release, good faith settlement, judgment bar or reduction, or any
25 other theory of claim preclusion or issue preclusion or similar defense or counterclaim.

26 14. The Court finds that Defendants have satisfied their financial obligations under
27 the Stipulation by paying or causing to be paid \$350,000,000.00 to the Settlement Fund, in
28 accordance with ¶2.2 of the Stipulation.

1 15. The Court finds and concludes that the Lead Plaintiffs, Plaintiffs' Counsel,
2 Defendants and Defendants' Counsel have complied with each requirement of Rule 11(b) of
3 the Federal Rules of Civil Procedure as to any complaint, responsive pleading, dispositive
4 motion, or other filing.

5 16. Any Plan of Allocation submitted by Lead Counsel or any order entered
6 regarding any attorneys' fee and expense application or awards to Lead Plaintiffs shall in no
7 way disturb or affect this Judgment and shall be considered separate from this Judgment.
8 Separate orders shall be entered regarding approval of a plan of allocation and Lead
9 Counsel's application for an award of attorneys' fees and expenses, and awards to Lead
10 Plaintiffs.

11 17. The Settling Parties are hereby authorized, without further approval of the
12 Court, to unanimously agree to and adopt in writing amendments, modifications, and
13 expansions of the Stipulation, provided that such amendments, modifications, and
14 expansions of the Stipulation are not materially inconsistent with this Judgment, and do not
15 materially limit the rights of the Members of the Class under the Stipulation.

16 18. Any appeal or any challenge affecting the approval of (a) the Plan of
17 Allocation submitted by Lead Counsel and/or (b) this Court's approval regarding any
18 attorneys' fee and expense applications, including any awards to Lead Plaintiffs, shall in no
19 way disturb or affect the finality of the other provisions of this Order and Final Judgment nor
20 the Effective Date of the Settlement.

21 19. Without affecting the finality of this Judgment in any way, jurisdiction is
22 hereby retained over Defendants, Lead Plaintiffs and Class Members for all matters relating
23 to the administration, interpretation, effectuation or enforcement of the Stipulation and this
24 Order and Final Judgment, including administering and distributing the settlement proceeds
25 to the Members of the Class.

26 20. In the event that the Effective Date does not occur in accordance with the terms
27 of the Stipulation, or is terminated pursuant to ¶2.16 of the Stipulation, ¶¶7.4, 7.5 and 7.6 of
28 the Stipulation shall apply and this Order and Final Judgment shall be rendered null and void

1 to the extent provided by and in accordance with the Stipulation and shall be vacated and
2 may not be introduced as evidence or reflected in any action or proceeding by any person or
3 entity, and each party shall be restored to his, her or its respective position as it existed prior
4 to January 5, 2020.

5 21. Without further order of the Court, the parties may agree to reasonable
6 extensions of time to carry out any of the provisions of the Stipulation.

7 22. Defendants have provided notification to all appropriate federal and state
8 officials regarding the Settlement as required by 28 U.S.C. §1715.

9 23. The Court has reviewed and considered the objections submitted by Class
10 Members Katherine A. Vinceri and Jeanne I. Levesque. The Court finds that neither letter
11 provides a meritorious objection to the Settlement, and overrules each of them.

12 24. This Litigation and all Released Claims are dismissed with prejudice. The
13 parties are to bear their own costs, except as otherwise agreed to in writing by the Settling
14 Parties or as otherwise provided in the Stipulation or this Order and Final Judgment.

15 25. There is no just reason for delay in the entry of this Order and Final Judgment
16 and immediate entry by the Clerk of the Court is expressly directed.

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EXHIBIT A

EXHIBIT A

| Opt Out Number | Name |
|----------------|--|
| FIRSTSLR-00001 | Gail Gainer |
| FIRSTSLR-00002 | Brandon Scot McLaughlin |
| FIRSTSLR-00003 | Richard Seibel |
| FIRSTSLR-00004 | Kathryn Susan Belletire |
| FIRSTSLR-00005 | Vernon W. Klaus / Marcella L. Klaus |
| FIRSTSLR-00006 | Curtis Andrew Mikolyski / Allene Mikolyski |
| FIRSTSLR-00007 | Phillip Camlin Ward |
| FIRSTSLR-00008 | Martha Burkholder |
| FIRSTSLR-00009 | David A. Gockowski |
| FIRSTSLR-00010 | Joseph F. Gallagher |
| FIRSTSLR-00011 | Richard D. Meehan |
| FIRSTSLR-00012 | Richard Shreiner |
| FIRSTSLR-00013 | Eugene E. Swedberg |
| FIRSTSLR-00014 | Jonathan Sullivan Ruder |
| FIRSTSLR-00015 | Julianne S. Carpenter |
| FIRSTSLR-00016 | Gregory Beck Poulsen |
| FIRSTSLR-00017 | Donna Sheehan |
| FIRSTSLR-00018 | James R. Sorenson |
| FIRSTSLR-00019 | Arthur C. Kneeland |
| FIRSTSLR-00020 | Daniel Moellenbeck / Cathy Moellenbeck |
| FIRSTSLR-00021 | Colleen R. Paynich |
| FIRSTSLR-00022 | Hartman B. Mowery / Bonnie L. Mowery |
| FIRSTSLR-00023 | James Sutton |
| FIRSTSLR-00024 | Amanda Cannon |
| FIRSTSLR-00025 | Lorraine L. Slater |
| FIRSTSLR-00026 | Joseph P. Rowlands |
| FIRSTSLR-00027 | Stanley F. Sroka, Jr. |
| FIRSTSLR-00028 | Rodney A. Davis |
| FIRSTSLR-00029 | Larry Oscar Patterson / Jill Marie Patterson |
| FIRSTSLR-00030 | Charles D. Schapen |
| FIRSTSLR-00031 | William C. Morrison |
| FIRSTSLR-00032 | Anne Hawthorne Silver |
| FIRSTSLR-00033 | Walter Westphal |
| FIRSTSLR-00034 | Toby Ann Mead |
| FIRSTSLR-00035 | Sandra L. Moe |
| FIRSTSLR-00036 | Robert Phillip Goodman |
| FIRSTSLR-00037 | John Honeycutt |
| FIRSTSLR-00038 | Rosamond Thrapp |
| FIRSTSLR-00039 | Aaron Wheeler |
| FIRSTSLR-00040 | Eric Gushiken |
| FIRSTSLR-00041 | Luann B. Shuman |
| FIRSTSLR-00042 | Madeline R. Chapman |

| Opt Out Number | Name |
|----------------|--|
| FIRSTSLR-00043 | Charles David Conaway |
| FIRSTSLR-00044 | Katherine Anne Vinceri |
| FIRSTSLR-00045 | Eric Nelson |
| FIRSTSLR-00046 | Carol L. Killian |
| FIRSTSLR-00047 | Bobby D. Hasler |
| FIRSTSLR-00048 | Linda Noel |
| FIRSTSLR-00049 | Patricia A. Semplak |
| FIRSTSLR-00050 | Suzanne Hruschka Carolyn Griffin |
| FIRSTSLR-00051 | Ronald Edward Mickley |
| FIRSTSLR-00052 | Burton Emc Daniel |
| FIRSTSLR-00053 | Julian L. Carter, Jr. |
| FIRSTSLR-00054 | Leslie E. Lihou |
| FIRSTSLR-00055 | Jean Bohnsack |
| FIRSTSLR-00056 | Dorothy M. Kehoe |
| FIRSTSLR-00057 | Joseph Goulet |
| FIRSTSLR-00058 | Richard A. Coley |
| FIRSTSLR-00059 | Shirley Dickinson / DS Dickinson Rev Trust U/S DTD 2/26/90 |
| FIRSTSLR-00060 | Alexander Onik |
| FIRSTSLR-00061 | Claire Moreau Andrus |
| FIRSTSLR-00062 | James F. Bem |
| FIRSTSLR-00063 | Kathleen M. Dugan Cavanagh / Mark Cavanagh |
| FIRSTSLR-00064 | Louise M. Koch |
| FIRSTSLR-00065 | Emile Gergin / Elise Gergin |
| FIRSTSLR-00066 | Charles S. Huhta |
| FIRSTSLR-00067 | Donovan D. Wagner / Audrey J. Wagner |
| FIRSTSLR-00068 | Womens INV GRP of Schenectady Wigs |
| FIRSTSLR-00069 | Alan Gould |
| FIRSTSLR-00070 | Troy Peterson |
| FIRSTSLR-00071 | Martin Birnbach |
| FIRSTSLR-00072 | Joanne H. Kouris |
| FIRSTSLR-00073 | Jeffrey William Schmidt |
| FIRSTSLR-00074 | Joan J. Graham (DECEASED) Tamzien (Tammy) E. Tallenger |
| FIRSTSLR-00075 | William I. Hoffmann / Frieda J. Hoffmann |
| FIRSTSLR-00076 | Ronald Lloyd |
| FIRSTSLR-00077 | Jean Ibrahim |
| FIRSTSLR-00078 | James M. Kelley |
| FIRSTSLR-00079 | Robert Leroy Cackler / Melina Ame Cackler |
| FIRSTSLR-00080 | Kenneth Gordon Vaughn / Betty J. Vaughn |
| FIRSTSLR-00081 | Raymond August Welder |
| FIRSTSLR-00082 | Kathryn M. Flynn (DECEASED) Kathryn M. Flynn Dye |
| FIRSTSLR-00083 | Elaine Ann Mattingly |
| FIRSTSLR-00084 | Coleman Ross |
| FIRSTSLR-00085 | David Mosley |
| FIRSTSLR-00086 | Robert F. Pettit, Jr. / Barbara M. Pettit |

| Opt Out Number | Name |
|----------------|--|
| FIRSTSLR-00087 | Norma J. Carter |
| FIRSTSLR-00088 | Dorothy A. Fisher |
| FIRSTSLR-00089 | Margaret Jones |
| FIRSTSLR-00090 | Etienne Vallee |
| FIRSTSLR-00091 | John Doe |
| FIRSTSLR-00092 | Eunice M. Davies |
| FIRSTSLR-00093 | David A. Gockowski |
| FIRSTSLR-00094 | Benjamin Link |
| FIRSTSLR-00095 | Robert G. Herrmann / Joan B. Herrmann |
| FIRSTSLR-00096 | Gary Walter Mislik / Nancy Jean Mislik |
| FIRSTSLR-00097 | Paul P. Feeny / Mary F. Berens |
| FIRSTSLR-00098 | John Daraban (DECEASED) / Mabel K. Daraban |
| FIRSTSLR-00099 | Joyce J. Brandl |
| FIRSTSLR-00100 | Thomas E. Harrison |
| FIRSTSLR-00101 | Edith V. Blickenstaff |
| FIRSTSLR-00102 | Bart Hiroshi Shibata |
| FIRSTSLR-00103 | Hartman B. Mowery / Bonnie L. Mowery |
| FIRSTSLR-00104 | Marian Hill |
| FIRSTSLR-00105 | Robert Lindskog |
| FIRSTSLR-00106 | Cynthia Hinofotis |
| FIRSTSLR-00107 | Marie Elaine Sinclair |
| FIRSTSLR-00108 | Richard Francis Baumann |
| FIRSTSLR-00109 | Nolan Richards |
| FIRSTSLR-00110 | Frances M. Ring |
| FIRSTSLR-00111 | Dana Edgar / Paul Desmond Edgar |
| FIRSTSLR-00112 | Russell B. Jones |
| FIRSTSLR-00113 | Donna B. Geyer |
| FIRSTSLR-00114 | Glenn T. Pounder |
| FIRSTSLR-00115 | Charles White / Shirley White |
| FIRSTSLR-00116 | Conard W. Hoak |
| FIRSTSLR-00117 | Richard N. Keller |
| FIRSTSLR-00118 | Irene Flemming |
| FIRSTSLR-00119 | Joan B. Shorey |
| FIRSTSLR-00120 | Pete Coop / Charlotte Coop |
| FIRSTSLR-00121 | Roxanne D. Sismanidis / Stephan R. Burant |
| FIRSTSLR-00122 | Harry Neufeld |
| FIRSTSLR-00123 | Eosco |
| FIRSTSLR-00124 | Randy Robert Bottcher / Karen Bottcher |
| FIRSTSLR-00125 | James F. Statz |
| FIRSTSLR-00126 | Thomas L. Lennon |
| FIRSTSLR-00127 | Christopher M. Gibbs |
| FIRSTSLR-00128 | Montanco |
| FIRSTSLR-00129 | Jennifer Kay Marshall |
| FIRSTSLR-00130 | Ghislaine Marie Shedd |

| Opt Out Number | Name |
|----------------|--|
| FIRSTSLR-00131 | Devin Jay Thomson |
| FIRSTSLR-00132 | Vandana Nanda / Sanjive Nanda |
| FIRSTSLR-00133 | Arjun Nanada / Sanjive Nanda |
| FIRSTSLR-00134 | Mark Robert Sigley |
| FIRSTSLR-00135 | Alfonso Bachir Laso |
| FIRSTSLR-00136 | Walter Sanders |
| FIRSTSLR-00137 | Louis L. Castilian / Virginia B. Castilian |
| FIRSTSLR-00138 | Nancy Zimpel |
| FIRSTSLR-00139 | Nickolaos Christidis |
| FIRSTSLR-00140 | Sophia Savul |
| FIRSTSLR-00141 | Ernest L. Quenton |
| FIRSTSLR-00142 | Arthur A. Robbins |
| FIRSTSLR-00143 | Mark Wharton |
| FIRSTSLR-00144 | Chow Kok Leong |
| FIRSTSLR-00145 | Twinkle J. Bhatt |
| FIRSTSLR-00146 | Robert A. Lindstrom (DECEASED) / Marcia A. Lindstrom |
| FIRSTSLR-00147 | Siew Hua Seow |
| FIRSTSLR-00148 | Leland E. Meier |
| FIRSTSLR-00149 | Kyoko Yoshimura |
| FIRSTSLR-00150 | Judson Gene Briggs |
| FIRSTSLR-00151 | Susan Chatfield Millard |
| FIRSTSLR-00152 | Ute Webster |
| FIRSTSLR-00153 | Gregory M. Patchen |
| FIRSTSLR-00154 | David Romero |
| FIRSTSLR-00155 | Keith Richard Fluegge |
| FIRSTSLR-00156 | Josefina Martinez |
| FIRSTSLR-00157 | Murray Headlee |
| FIRSTSLR-00158 | James R. Christy |
| FIRSTSLR-00159 | Robert M. Garvert |
| FIRSTSLR-00160 | Andrew Mark Weitzen |
| FIRSTSLR-00161 | Edith Weitzen |
| FIRSTSLR-00162 | Peter John Lorenzo |
| FIRSTSLR-00163 | Joseph G. Lalonde |
| FIRSTSLR-00164 | Hai Suk Jung |
| FIRSTSLR-00165 | Art Rillera |
| FIRSTSLR-00166 | Amanda Jane Breton / Mark Anderson Rowe |
| FIRSTSLR-00167 | Alvis James Andrews |
| FIRSTSLR-00168 | Mikael D. Lurie / Kathryn A. Lurie |
| FIRSTSLR-00169 | Eric Michael Vos (DECEASED) / Judy Vos |
| FIRSTSLR-00170 | Clifton R. Gruver |
| FIRSTSLR-00171 | Jeannine Marie Scates |
| FIRSTSLR-00172 | Thomas E. Harrington |
| FIRSTSLR-00173 | Peter Miko |
| FIRSTSLR-00174 | Stephen Eugene Pyle / Mary Helen Pyle |

| Opt Out Number | Name |
|-----------------------|---|
| FIRSTSLR-00175 | Daniel D. Hinson (DECEASED) / Nicole Wynne |
| FIRSTSLR-00176 | Jonathan David Schilling |
| FIRSTSLR-00177 | Jerry P. Elliott |
| FIRSTSLR-00178 | Thomas F. Clough |
| FIRSTSLR-00179 | Michael R. Soltis |
| FIRSTSLR-00180 | Daniel Lane Broadbent / Geri Nicole Broadbent |
| FIRSTSLR-00181 | Hung Tu Phan |
| FIRSTSLR-00182 | David W. Alwood |
| FIRSTSLR-00183 | Huy Duc Nguyen |
| FIRSTSLR-00184 | David J. Christopher |
| FIRSTSLR-00185 | Ben S. Daniel, Jr. |
| FIRSTSLR-00186 | Gregory K. Ennis / Laura L. Ennis |
| FIRSTSLR-00187 | Enrique Melinsky |
| FIRSTSLR-00188 | Michael Bergmann / Kristen Bergmann |
| FIRSTSLR-00189 | Philip Bleaman |
| FIRSTSLR-00190 | Monica Lee Russell |
| FIRSTSLR-00191 | Brian Dea Pate |
| FIRSTSLR-00192 | Michael David Sallon / Diana Mary Sallon |
| FIRSTSLR-00193 | Ralph E. Hall |
| FIRSTSLR-00194 | TFMS Best Ideas Fund LLC |
| FIRSTSLR-00195 | Gary Komarowsky |
| FIRSTSLR-00196 | Joan Aikey / Gilbert Aikey |
| FIRSTSLR-00197 | James Sheppard |
| FIRSTSLR-00198 | Daniel Patrick Boyle |
| FIRSTSLR-00199 | Duff Schweninger |
| FIRSTSLR-00200 | David Crouston / Michael Schwarz |
| FIRSTSLR-00201 | Magdelin L. Shustek |
| FIRSTSLR-00202 | Teal Flint Johnson |
| FIRSTSLR-00203 | James R. Bette |
| FIRSTSLR-00204 | Michael Thornton Lester |
| FIRSTSLR-00205 | Robert R. Frail (DECEASED) |
| FIRSTSLR-00206 | Richard William Steudel |
| FIRSTSLR-00207 | Jung Lee |
| FIRSTSLR-00208 | Ann Oberlander |
| FIRSTSLR-00209 | Sebastian M. Calderone |
| FIRSTSLR-00210 | Kevin Clines |
| FIRSTSLR-00211 | Bradlee Aaron Spudic |
| FIRSTSLR-00212 | Rebecca J. Atwood |
| FIRSTSLR-00213 | Thomas Atwood |
| FIRSTSLR-00214 | Darren Hopper |
| FIRSTSLR-00215 | Sonia Dingizian |
| FIRSTSLR-00216 | Mario Gianni Gasbarro |
| FIRSTSLR-00217 | Jeffrey Adams Clifford |
| FIRSTSLR-00218 | Estate of Flora Elizabeth Freeman |

| Opt Out Number | Name |
|----------------|---|
| FIRSTSLR-00219 | Paul Gerald Simard |
| FIRSTSLR-00220 | Justin Gilnick |
| FIRSTSLR-00221 | Maverick Fund LDC / Maverick FD USA LTD & FD II LTD / Maverick Long Enhanced FD LTD / Maverick Long Fund LTD / Marverick Neutral Fund LTD |
| FIRSTSLR-00222 | Harold R. Papiska |
| FIRSTSLR-00223 | Gerhardus Venter |
| FIRSTSLR-00224 | Daniel Kenton |
| FIRSTSLR-00225 | Eugene K. Wagester |
| FIRSTSLR-00226 | Keegan Karl |
| FIRSTSLR-00227 | Rhea Keene |
| FIRSTSLR-00228 | Mahmudur Rahman Rahma Villamiel De Caseres 34 |
| FIRSTSLR-00229 | Misel Ladisic |
| FIRSTSLR-00230 | Gerald Alan Wyeth |
| FIRSTSLR-00231 | Laura Lucca |
| FIRSTSLR-80001 | Eric Easley |
| FIRSTSLR-80002 | Nayada Lugji |
| FIRSTSLR-80003 | James R. Martin |
| FIRSTSLR-80004 | Jeffrey Beeman |
| FIRSTSLR-80005 | Vengkata Ramana Rao A/L Rama Appudu |
| FIRSTSLR-80006 | Pennie Baldacci |
| FIRSTSLR-80007 | Gruppo Banco Leonardo Spa |
| FIRSTSLR-80008 | Shirley Dickinson DS Dickinson Rev Trust |
| FIRSTSLR-80009 | Matthew R. Leyko |
| FIRSTSLR-80010 | Wing Chung Tang |
| FIRSTSLR-80011 | Josetta A. Berg |